

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:
 Hiroshi KUBOTA of Nagano, Japan
 Serial No: Not assigned
 Filed: December 2, 2003
 For: Optical Head Device

22264 U.S. PTO
 10/727107
 120203

Mail Stop PATENT APPLICATION
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number **000026021**

Transmitted herewith for filing is the patent application identified above.

- ☒ 6 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
☒ 22 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
☒ An assignment of the invention to SANKYO SEIKI MFG. CO., LTD. ☐ is enclosed ☒ will follow.
☐ An associate power of attorney ☐ is enclosed ☐ will follow.
☐ Applicant claims small entity status. See 37 CFR 1.27.
☒ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
☒ A certified copy of Japanese Patent Application No. 2002-359019 filed December 11, 2002 from which priority is claimed under 35 U.S.C. § 119 is enclosed.
☒ IDS enclosed (☒ with 1 reference(s)).
☐ Preliminary Amendment is enclosed.
☐ Application data sheet is enclosed. See 37 CFR 1.76.
☒ Return postcard is enclosed.

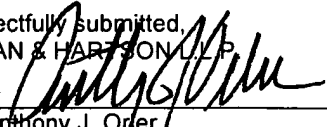
CALCULATION OF FEES									
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FEE	20	-20	0	LG=\$18 SM=\$9	\$18	\$ 0		
B	INDEPENDENT CLAIMS FEE*	3	-3	0	LG=\$86 SM=\$43	\$86	\$ 0		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							0	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$290 SMALL ENTITY FEE = \$145		\$ 0	
E	BASIC FEE					LARGE ENTITY FEE = \$770 SMALL ENTITY FEE = \$385		\$ 770	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 770	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$ 40
*LIST INDEPENDENT CLAIMS 1, 15 and 16.									

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.
☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. A copy of this sheet is enclosed.
☐ Any additional filing fees required under 37 C.F.R. 1.16
☐ Any patent application processing fees under 37 C.F.R. 1.17

Date: December 2, 2003

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Respectfully submitted,
 HOGAN & HARTSON LLP

By: 
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 Attorney for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Hiroshi KUBOTA

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Art Unit: Not assigned

Examiner: Not assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

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Date of Deposit: December 2, 2003

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application (22 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- ☒ 6 sheet(s) of formal drawings
- ☒ certified copy of Japanese patent application No. 2002-359019 which was filed December 11, 2002 from which priority is claimed in the subject case pursuant to 35 U.S.C. § 119
- ☒ Information Disclosure Statement with 1 reference
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Arlington, VA 22313-1450

Date: December 2, 2003

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Name of person mailing papers

Signature